

Performance Measurement Assessment (PMA)

Frequently Asked Questions



1. What is the Performance Measurement Assessment (PMA)?

The Performance Measurement Assessment (PMA) is the Commission's structured process to proactively monitor each state's compliance with the ICJ Rules and policies. The first state-wide PMAs began in 2015, and the last PMA occurred in 2019. There has been no PMA since the launch of the Commission's new data system, UNITY. Due to the transition to the new system, the Commission instead performed the UNITY Data Assessment in 2022 which assessed nationwide compliance and trends and identified areas for potential improvement in ICJ Rules and practices.

[*ICJ Compliance Policy 2-2014: Performance Measurement Policy and Standards*](#) is the foundation for the PMA program, along with the accompanying Compliance Priorities and Standards. The policy was revised in 2025 and includes quarterly and annual assessments based on data from the Commission's electronic system, with the goal of promoting accountability, identifying trends, and supporting states in achieving compliance standards.

States can expect to receive notices when their compliance percentages fall below the policy-based expectation for consecutive quarters. However, states don't have to wait to receive a report to identify issues. The data is available to all Compact Offices at any time and may be accessed on the Transfer of Supervision (TOS) Dashboards on the Tableau reporting site.

Note: Only cases opened on or after January 1, 2025, are represented on the TOS Dashboard and will be included in the PMA.

Finally, the new Proactive Compliance Schedule provides recommended and required responses from states to address areas of non-compliance. View the schedule in [Section IV of the policy](#).

2. How can my state prepare for the PMA?

- Continue and maintain training for local and state personnel about the ICJ Rules, Compliance Standards, and compliance rate expectations
- Monitor due dates and case actions in the Commission's systems (UNITY and Tableau). For example, use Tableau to track pending home evaluation reports and pending quarterly progress reports in one quick view.
- Review the [*ICJ Compliance Policy 2-2014: Performance Measurement Policy and Standards*](#) and training resources

- Respond promptly to assessment findings and participate in recommended support measures.
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3. Will juvenile personally identifiable information (PII) be kept confidential?

Yes. All PII is de-identified in assessment reports and other communications. The National Office ensures that no PII is circulated, publicized, or published. When submitting challenges to reports, states may only reference UNITY case numbers.

4. Will we receive a quarterly email notification if our state is meeting the compliance expectations?

States receive quarterly assessment reports **when compliance rates are not met**. These reports outline the non-compliant standards and next steps according to the Proactive Compliance Response Schedule.

5. What are the assessment periods and when can we expect to receive a report?

Quarters are based on the calendar year. Quarterly data is available in the first month of the following quarter. If any standard is below the compliance rate for that quarter, your state can expect to receive a report in the last month of the next quarter, or according to the following schedule:

Quarter	Assessment Period	Data Available on TOS Dashboard	Reports Sent
Q1	January, February, March	April	June
Q2	April, May, June	July	September
Q3	July, August, September	October	December
Q4	October, November December	January	March

6. What is the Compliance Committee's role in the PMAs?

The Compliance Committee is responsible for:

- Establishing standards and review schedules
- Reviewing assessment reports and proposed actions
- Determining whether to amend assessment reports based on state challenges
- Providing Targeted Compliance Assistance
- Approving corrective action plans
- Monitoring progress and confidentiality of all assessment-related documents.

7. What is the process and timeline for challenging the findings of a report?

A commissioner, or designee, may submit a **written response within 15 calendar days** of receiving the report to challenge any of the findings. The response should include relevant evidence to support the challenge, including the specific UNITY case number. **However, the response must not include any personally identifiable information (PII), such as name or date of birth.**

Tips to review identified cases include:

- Review the case notes and communications to determine the reason for the delay.
- Determine if any state or county holidays fell in the timeframe and contributed to an overdue status.
- Determine when the other state was notified of the delay and note their response.
- Review emails sent to officers in your state regarding the delay and note their response.
- Include relevant information about staff changes that may have impacted the case.
- Identify the case using the UNITY case number only (no juvenile personally identifiable information).

The Compliance Committee, or the committee chairperson, will review the challenge and issue a decision within 15 calendar days of receiving the challenge.

8. What happens if the challenge leads to an amended report?

If the challenge is approved, the state will receive an **amended assessment report** reflecting the changes based on the evidence provided. The National Office records will reflect the change; however, the Tableau reports will not be able to be adjusted.

9. What happens if the challenge does not lead to an amended report?

If the challenge is denied, the state must follow the **recommended or required actions** outlined in the Proactive Compliance Response Schedule, which may include a Voluntary Response Plan, Targeted Compliance Assistance, or a Corrective Action Plan depending on how many consecutive quarters the standard was unmet.

10. What is a Voluntary Response Plan?

A Voluntary Response Plan is a state-developed plan to address areas of non-compliance. It is optional but recommended in the third consecutive quarter of non-compliance. States have discretion over the format and content of the plan. Essentially, the Compliance Committee wants to see that your state is taking the initiative to address and correct issues.

11. What is Targeted Compliance Assistance?

Targeted Compliance Assistance is a structured support initiative from the Compliance Committee to help states improve compliance. It will be tailored to an individual state's needs and may include coaching, policy guidance, training resources, or other technical assistance. It becomes **mandatory in the third consecutive quarter** of non-compliance or upon a denied challenge.

12. What is a Corrective Action Plan?

This plan is required in the **fourth consecutive quarter** of non-compliance. It must include:

- Problem and success statements
- Clear corrective actions and timelines
- Responsible personnel and metrics
- Monthly progress reports

It must follow the Commission's approved template and be submitted within 30 calendar days of the report or notification. For more information, see the Commission's [Compliance Policy 02-2014: Performance Measurement Policy and Standards](#), Section X. The [PMA Toolkit](#) webpage contains samples and templates for states to use.

13. What if my state needs further assistance and support to address compliance-related issues?

States may request training or technical assistance from the National Office or participate in Targeted Compliance Assistance. Requests should align with the Commission's [Administrative Policy 07-2009: Training and Technical Assistance](#).

14. What information will be available in the Year-End Report?

The National Office provides a confidential year-end report summarizing compliance performance based on selected standards. The report contains no personally identifiable data (PII) and may be shared with state auditors or agencies at the commissioner's discretion. The report is also available to states from within the Tableau Dashboards.

15. What standards will be assessed in 2026?

The Compliance Committee approved assessing the following standards for the 2026 calendar year:

Standard Number	Standard Language	Rule Reference
A-01	For all cases falling under Rule 4-102, Receiving States shall forward the home evaluation within 45 calendar days of receipt of the referral.	Rule 4-102(4)
A-02	Receiving States shall furnish written progress reports to the sending state on no less than a quarterly basis.	Rule 5-101(4)
A-03	Sending States shall respond to a report of violation, to include action to be taken by the sending state and the date the action will occur, no later than 10 business days following receipt.	Rule 5-103(2)