

INTERSTATE COMMISSION FOR JUVENILES

Compliance Committee Meeting



Minutes

April 4, 2019

2:00 p.m. ET

Voting Members in Attendance:

1. Jacey Rader (NE), Chair
2. *Anne Connor (ID), Designee **
3. Traci Marchand (NC), Commissioner
4. Caitlyn Bickford (NH), Commissioner
5. Charles Frieberg (SD), Commissioner
6. Jedd Pelander (WA), Commissioner

Members Not in Attendance:

1. Summer Foxworth (CO), Commissioner
2. Amy Welch (KY), Commissioner
3. Angela Bridgewater (LA), Commissioner
4. Julie Hawkins (MO), Commissioner
5. Eavey-Monique C. James (USVI), Commissioner

Non-Voting Members in Attendance:

1. Ellen Hackenmueller (AK)
2. Jefferson Regis (DC)
3. Brodean Shepard (FL)
4. Abbie Christian (NE)
5. Sally Holewa (CSCA), Ex officio

National Office Staff in Attendance:

1. MaryLee Underwood, Executive Director
2. Jenny Adkins, Operations and Policy Specialist
3. Emma Goode, Training and Administrative Specialist

Call to Order

Chair Rader called the meeting to order at 2:01 p.m. ET.

Roll Call

Director Underwood called the roll and a quorum was not established. Chair Rader decided to proceed with reports, having been informed that another member was expected to join momentarily.

Reports

- FY 2019 Dues:
 - Chair Rader reported that all FY2019 dues had been paid.

- Performance Measurement Assessment:
 - Group 1 Reports and Responses
 - Chair Rader (NE) provided a summary of the findings of the first group Performance Measurement Assessment (PMA). Thirteen (13) states were assessed with an overall compliance score of 89%. Four (4) states scored below 70% on at least one standard. These states were required to submit a response or a Corrective Action Plan (CAP) to the National Office.
 - The committee discussed each of the responses and CAPs received.

***At 2:19 PM ET quorum was established when A. Connor (ID) joined the meeting and the committee was constituted to do business.**

Agenda

A. Connor (ID) made a motion to approve the agenda. T. Marchand (NC) seconded. The motion carried.

Minutes

A. Connor (ID) made a motion to approve the January 3, 2019 meeting minutes. C. Frieberg (SD) seconded. The motion carried.

Discussion

- Performance Measurement Assessment
 - Group 1 Reports and Responses
 - Chair Rader (NE) provided a brief review of the reports and committee discussion up to the time quorum was established.
 - **A. Conner (ID) made a motion to amend Alaska's report as requested; to deny Iowa's request for amendment of the PMA report and require a Corrective Action Plan; to accept Louisiana's CAP as presented, as it fulfills requirements per policy; and to follow up with New York to request amended Corrective Action plans with due dates for action steps and quarterly progress reports be provided. C. Bickford (NH) seconded. The motion carried.**
- Review of Compliance Related Policies
 - Chair Rader introduced proposed amendments to three ICJ policies related to compliance, noting that the Executive Committee had tasked the Compliance Committee with recommending amendments. She suggested that ICJ Compliance Policy 02-2009: Compliance Enforcement – Investigation Process could be incorporated into ICJ Compliance Policy 01-2009: Compliance Enforcement -Investigating Allegations of Non-Compliance. These two policies will be discussed at the next committee meeting. She asked the committee to focus first on ICJ Compliance Policy 03-2009: Guidelines for Resolving Compliance Issues, because it describes the first steps to be taken.
 - Chair Rader presented a summary of draft revisions to ICJ Compliance Policy 03-2009: Guidelines for Resolving Compliance Issues to the committee and opened the floor for discussion.
 - The committee discussed the proposed amendments to include language for consistency, relevancy to rules and statutes, and to promotion dispute resolution without formal compliance action. Some additional amendments were made to clarify the sequence of action steps that should be taken

between an informal dispute resolution and a formal written complaint or allegation of default.

- Chair Rader (NE) suggested the flow chart included in the current version of the policy should be stricken from the policy and recreated as a guidance document to be posted for reference on the ICJ website in the future.
- **A. Connor (ID) made a motion to approve the amended ICJ Compliance Policy 03-2009 as presented. J. Pelander (WA) seconded. The motion carried.**

○ State Council Report

- Chair Rader provided a summary of the 2018 State Council reports. As of March 29, 2019, all states and territories submitted State Council reports to the National Office. Five (5) states reported that no State Council had been created; fifteen (15) states reported that their State Councils did not meet in 2018; and seven (7) of those fifteen (15) states reported their State Councils had not met in the past five (5) years.
- The committee discussed methods to assist these fifteen (15) states in making their State Councils more effective. Recommendations included providing sample agendas, examples of state specific initiatives to promulgate and discuss, provide structure on how State Council meetings should be conducted and provide technical assistance as needed.
- Chair Rader (NE) reported that the Rules Committee had proposed a new Rule to clarify the requirements established in Article IX of the Compact, which will be presented to the full Commission for adoption at the 2019 Annual Business Meeting.
- **A. Connor (ID) made a motion for the Compliance Committee to collaborate with other committees including the Executive Committee to create a formal targeted training plan and to request additional information regarding plans to assist the fifteen (15) states that were found to be non-compliant. C. Frieberg (SD) seconded. The motion carried.**

Old Business

○ Global Assignments Review Addressed by State Council

- Chair Rader reported that the state of Alabama discussed the recent Global Assignment Reviews and its importance during their State Council meeting. It was noted in the State Council minutes that the review had assisted them in targeting where focus was needed to improve their state processes.

New Business

No New Business to report.

Adjourn

A. Connor (ID) made a motion to adjourn. Chair Rader adjourned the meeting by acclimation at 3:03 p.m. ET.